



2/31 #3 BT
01-23-03

PATENT
Attorney Docket No. CTX-068
(1545/117)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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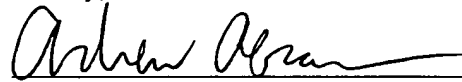
JAN 17 2003

Technology Center 2100

APPLICANTS: Pope et al.
SERIAL NO.: 09/880,268 GROUP NO.: 2131
FILED: June 13, 2001 EXAMINER: Not Yet Assigned
TITLE: A Method and Apparatus for Transmitting Authentication Credentials of a User Across Communication Sessions

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this 10th day of January, 2003.

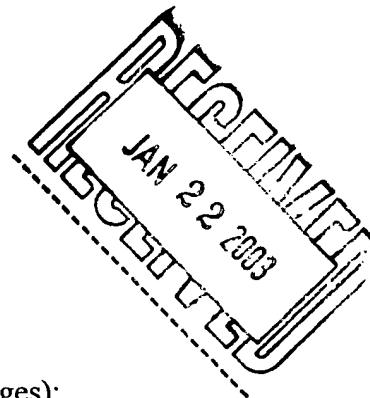

Andrew Abramson

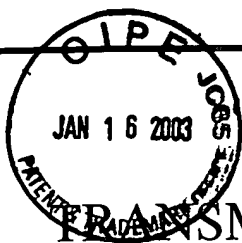
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are the following:

- (1) Transmittal Form (1 page);
- (2) First Supplemental Information Disclosure Statement (2 pages);
- (3) First Supplemental Form PTO-1449 (1 page);
- (4) Copies of Cited References (A30, B5-B6 and C17); and
- (5) A Return Receipt Postcard.





TRANSMITTAL FORM

Application Serial Number	09/880,268
Filing Date	June 13, 2001
First Named Inventor	Pope
Group Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-068
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> First Supplemental Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> First Supplemental Form PTO-1449 (1 pg.) <input checked="" type="checkbox"/> Copies of Cited References (A30, B5-B6 and C17)	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

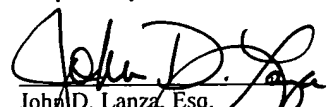
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: January 10, 2003
Reg. No. 40,060
Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

Respectfully submitted,


John D. Lanza, Esq.
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Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



PATENT
Attorney Docket No. CTX-068
(1545/117)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Pope et al.
SERIAL NO.: 09/880,268 GROUP NO.: 2131
FILING DATE: June 13, 2001 EXAMINER: Not Yet Assigned
TITLE: A Method and Apparatus for Transmitting Authentication Credentials
of a User Across Communication Sessions

Commissioner for Patents
Washington, D.C. 20231

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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications (A30, B5-B6, and C17) are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

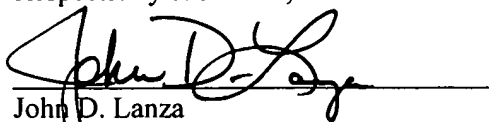
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: January 10, 2003
Reg. No. 40,060

Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

Respectfully submitted,


John D. Lanza
Attorney for the Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110



SHEET 1 OF 1

FIRST SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-068

APPLICANTS: Pope et al.

SERIAL NO.: 09/880,268

FILING DATE: June 13, 2001

GROUP: 2131

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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A30	6,058,480	05/02/00	Brown	713	201	1/8/98

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B5	0 575 765 A1	12/29/93	EP	G06F	12/02	5/25/93	N	Y
	B6	00/72506	11/30/00	WO	H04L	9/32	5/22/00	N	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C17	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US02/18295, mailed on November 20, 2002, 3 pages.							

EXAMINER	DATE CONSIDERED
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